

Washington Apple Health (Medicaid)

Sterilization Supplemental Billing Guide

January 1, 2018

Every effort has been made to ensure this guide's accuracy. If an actual or apparent conflict between this document and an agency rule arises, the agency rules apply.

About this guide*

This publication takes effect January 1, 2018, and supersedes earlier billing guides to this program.

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Washington Apple Health means the public health insurance programs for eligible Washington residents. Washington Apple Health is the name used in Washington State for Medicaid, the children's health insurance program (CHIP), and state-only funded health care programs. Washington Apple Health is administered by the Washington State Health Care Authority.

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^{*}This publication is a billing instruction.

What has changed?

| Subject | Change | Reason for Change |
|------------------------|--|---|
| Client Eligibility | This section is reformatted and consolidated for clarity and hyperlinks have been updated. | Housekeeping and notification of new region moving to FIMC |
| | Effective January 1, 2018, the agency is implementing another FIMC region, known as the North Central region, which includes Douglas, Chelan, and Grant Counties. | |
| What is sterilization? | Removed CPT [®] code 55450 as a code that can be billed for vasectomies. Providers must use CPT [®] code 55250. (corrected January 23, 2018) | Discontinued code as of January 1, 2018, because it was deleted from CPT® by the American Medical Association (AMA) |
| Coverage Table | Added a comment to CPT® code 55250 that this code should be used for any vasectomy performed by any method. (corrected January 23, 2018) | Clarification |
| | Removed CPT® code 55450 from the list of covered codes. Providers must use CPT® code 55250 to bill for any vasectomy performed by any method. (corrected January 23, 2018) | Discontinued code as of January 1, 2018, because it was deleted from CPT® by the AMA |

How can I get agency provider documents?

To access provider alerts, go to the agency's provider alerts web page.

To access provider documents, go to the agency's <u>provider billing guides and fee schedules</u> web page.

Where can I download agency forms?

To download an agency provider form, go to HCA's Billers and provider's web page, select Forms & publications. Type the HCA form number into the **Search box** as shown below (Example: 13-835).



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Resources Available

| Торіс | Resource |
|--|--|
| Obtaining information regarding reproductive health or family planning programs, including questions about sterilization | Visit the agency's Family Planning Billing Guide. Visit the Apple Health Billers and Providers "contact us" web page. Contact the Family Planning Program Manager: Family Services Section PO Box 45530 Olympia, WA 98504-5530 Phone: 360-725-1652 Fax: 360-725-1152 familyplanning@hca.wa.gov |
| Agency-approved Sterilization Consent form | Visit U.S. Department of Health and Human Services to download English and Spanish versions of HHS-687. |
| Obtaining pharmacy information related to sterilization | Visit the agency's <u>Pharmacy</u> website. |
| Additional agency resources | See the Apple Health <u>Billers and Providers</u> web page. |
| Obtaining agency provider notices and Medicaid billing guides | Go to the agency's <u>Provider Billing Guides and Fee Schedules</u> website. |
| Billing and Claims | Providers must follow the billing requirements listed in the agency's ProviderOne Billing and Resource Guide . |

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Definitions

This section defines terms and abbreviations, including acronyms, used in this billing guide. Refer to Chapter 182-500 WAC and WAC 182-532-001 for additional definitions.

Contraceptive – A device, drug, product, method, or surgical intervention used to prevent pregnancy.

Family Planning Only program – The agency's program providing an additional 10 months of family planning services to eligible clients at the end of their pregnancy. This benefit follows the 60-day post-pregnancy coverage for clients who received medical assistance benefits during the pregnancy.

Family planning services – Medically safe and effective medical care, educational services, and contraceptives that enable people to plan and space the number of their children and avoid unintended pregnancies.

Hysteroscopic sterilization – A permanent voluntary surgical procedure performed on females in which the Fallopian tubes are blocked with an implant. This procedure is done in a physician's office using an instrument called a "hysteroscope" to access the Fallopian tubes. The procedure is less invasive than a tubal ligation and does not require the use of general anesthesia.

Hysterosalpingogram – An X-ray of the uterus and Fallopian tubes, using a dye that identifies blockages in the Fallopian tubes confirming successful sterilization.

Informed consent – A person's consent to a procedure after the provider who obtained a properly completed consent form has done all of the following:

- Disclosed and discussed the client's diagnosis
- Offered the client an opportunity to ask questions about the procedure and to request information in writing
- Given the client a copy of the consent form
- Communicated effectively using any language interpretation or special communication device necessary per 42 CFR 441.257
- Given the client oral information about all of the following:
 - ✓ The client's right to not obtain the procedure, including potential risks, benefits, and the consequences of not obtaining the procedure
 - ✓ Alternatives to the procedure including potential risks, benefits, and consequences
 - ✓ The procedure itself, including potential risks, benefits, and consequences

Sterilization Consent form – Unless otherwise specified in this billing guide, federal form <u>HHS-687</u>.

TAKE CHARGE – The agency's demonstration and research program approved by the federal government under a Medicaid program waiver to provide family planning services to people eligible according to <u>WAC</u> 182-532-720.

TAKE CHARGE provider – A family planning provider who has a TAKE CHARGE agreement to provide TAKE CHARGE family planning services to eligible clients under the terms of the federally approved Medicaid waiver for the TAKE CHARGE program and meets the requirements of WAC 182-532-730.

Tubal ligation – A permanent voluntary surgical procedure performed on females in which the Fallopian tubes are clamped off, cut and tied, or cut and cauterized to prevent pregnancy.

Vasectomy – A permanent voluntary surgical procedure performed on males in which the vas deferens (tubes that carry sperm from the testicles to the seminal vesicles) are cut, tied, cauterized or otherwise interrupted to prevent pregnancy.

Client Eligibility

Most Apple Health clients are enrolled in an agency-contracted managed care organization (MCO). This means that Apple Health pays a monthly premium to an MCO for providing preventative, primary, specialty, and other health services to Apple Health clients. Clients in managed care must see only providers who are in their MCO's provider network, unless prior authorized or to treat urgent or emergent care. See the agency's Apple Health managed care page for further details.

It is important to always check a client's eligibility prior to providing any services because it affects who will pay for the services.

How do I verify a client's eligibility?

Check the client's Services Card or follow the two-step process below to verify that a client has Apple Health coverage for the date of service and that the client's benefit package covers the applicable service. This helps prevent delivering a service the agency will not pay for.

Is the client enrolled in an agency-contracted managed care organization (MCO), in a behavioral health organization (BHO), or is the client receiving services through fee-for-service (FFS) Apple Health?

Verifying eligibility is a two-step process:

Step 1. Verify the patient's eligibility for Apple Health. For detailed instructions on verifying a patient's eligibility for Apple Health, see the *Client Eligibility, Benefit Packages, and Coverage Limits* section in the agency's ProviderOne Billing and Resource Guide.

If the patient is eligible for Apple Health, proceed to **Step 2**. If the patient is **not** eligible, see the note box below.

Step 2. Verify service coverage under the Apple Health client's benefit package. To determine if the requested service is a covered benefit under the Apple Health client's benefit package, see the agency's Program Benefit Packages and Scope of Services web page.

Note: Patients who are not Apple Health clients may submit an application for health care coverage in one of the following ways:

- 1. By visiting the Washington Healthplanfinder's website at: www.wahealthplanfinder.org
- 2. By calling the Customer Support Center toll-free at: 855-WAFINDER (855-923-4633) or 855-627-9604 (TTY)
- 3. By mailing the application to: Washington Healthplanfinder PO Box 946 Olympia, WA 98507

In-person application assistance is also available. To get information about inperson application assistance available in their area, people may visit www.wahealthplanfinder.org or call the Customer Support Center.

Managed care enrollment

Apple Health (Medicaid) places clients into an agency-contracted MCO the same month they are determined eligible for managed care as a new or renewing client. This eliminates a person being placed temporarily in FFS while they are waiting to be enrolled in an MCO or reconnected with a prior MCO. This enrollment policy also applies to clients in FFS who have a change in the program they are eligible for.

New clients are those initially applying for benefits or those with changes in their existing eligibility program that consequently make them eligible for Apple Health managed care. Renewing clients are those who have been enrolled with an MCO but have had a break in enrollment and have subsequently renewed their eligibility.

Checking eligibility

- Providers must check eligibility and know when a client is enrolled and with which MCO. For help with enrolling, clients can refer to the Washington Healthplanfinder's <u>Get Help Enrolling</u> page.
- MCOs have retroactive authorization and notification policies in place. The provider must know the MCO's requirements and be compliant with the MCO's policies.

Behavioral Health Organization (BHO)

The Department of Social and Health Services (DSHS) manages the contracts for behavioral health services (mental health and substance use disorder) for eight of the Regional Service Areas (RSAs) in the state. The remaining regions have fully integrated managed care (FIMC).

See the agency's Mental Health Services Billing Guide for details.

Fully Integrated Managed Care (FIMC)

For clients who live in an FIMC region, all physical health services, mental health services, and drug and alcohol treatment are covered and coordinated by the client's agency-contracted MCO. The BHO will not provide behavioral health services in these counties.

Clients living in an FIMC region will enroll with an MCO of their choice that is available in that region. If the client does not choose an MCO, the client will be automatically enrolled into one of the available MCOs, unless the client is American Indian/Alaska Native (AI/AN). Clients currently enrolled in one of the available MCOs in their region may keep their enrollment when the behavioral health services are added.

Effective July 1, 2017, American Indian/Alaska Native (AI/AN) clients living in an FIMC region of Washington may choose to enroll in one of the agency-contracted MCOs available in that region or they may choose to receive all these services through Apple Health FFS. If they do not choose an MCO, they will be automatically enrolled into Apple Health FFS for all their health care services, including comprehensive behavioral health services. See the agency's American Indian/Alaska Native webpage.

For more information about the services available under the FFS program, see the agency's <u>Mental Health Services Billing Guide</u> and the <u>Substance Use Disorder Billing Guide</u>.

For full details on FIMC, see the agency's Changes to Apple Health managed care webpage.

FIMC Regions

Clients who reside in either of the following two FIMC regions and who are eligible for managed care enrollment must choose an available MCO in their region. Specific details, including information about mental health crisis services, can be found on the agency's Apple Health managed care webpage.

North Central Region – Douglas, Chelan and Grant Counties

Effective January 1, 2018, the agency will implement the second FIMC region known as the North Central Region, which includes Douglas, Chelan, and Grant Counties.

Southwest Washington Region – Clark and Skamania Counties

Effective April 1, 2016, the agency implemented the first FIMC region known as the Southwest Washington Region, which includes Clark and Skamania Counties. Clients eligible for managed care enrollment choose to enroll in one of two available MCOs in this region.

Apple Health Foster Care (AHFC)

Coordinated Care of Washington (CCW) provides all physical health care (medical) benefits, lower-intensity outpatient mental health benefits and care coordination for all Washington State foster care enrollees through a single, statewide managed care plan known as Apple Health Core Connections (AHCC).

Clients under this program are:

- Under the age of 21 who are in foster care (out of home placement)
- Under the age of 21 who are receiving adoption support
- Age 18-21 years old in extended foster care
- Age 18 to 26 years old who aged out of foster care on or after their 18th birthday (alumni)

These clients are identified in ProviderOne as "Coordinated Care Healthy Options Foster Care."

See the agency's Apple Health managed care page, Apple Health Foster Care for further details.

About Sterilization

What is sterilization?

(WAC 182-531-1550(1))

Sterilization is any medical or surgical procedure intended to render the client permanently incapable of reproducing. This includes vasectomies (CPT® code 55250), tubal ligations (CPT® codes 58600, 58605, 58611, 58615, 58670, and 58671), and hysteroscopic sterilizations (CPT® code 58565).

Note: Hysterectomy, oophorectomy, salpingectomy, and urological procedures are not sterilization procedures. The agency does not pay for these procedures when performed solely for the purpose of sterilization. These procedures are covered only when medically necessary. A sterilization consent form is not required when these procedures are performed for medical necessity. The client must be informed as part of the surgical consent that the procedure will cause sterility. For more information about these procedures and billing guidelines, see the Physician-Related Services/Health Care Professional Services Billing Guide. Hysterectomies require form 13-365.

Who may perform sterilizations?

(WACs 182-531-1550(3))

Any Washington Apple Health (Medicaid) provider who is licensed to do sterilizations within their scope of practice may provide vasectomies, tubal ligations, or hysteroscopic sterilizations to any Washington Apple Health client, including Family Planning Only and TAKE CHARGE clients.

For special qualifications for providers who perform hysteroscopic sterilizations, see <u>Provider qualifications</u> specific to hysteroscopic sterilizations.

What are the consent requirements?

(WAC 182-531-1550(9))

Federal regulations (42 CFR Ch. IV, Subpart F, 441.256) prohibit payment for sterilization procedures until a federally approved and accurately completed sterilization consent form is received with a claim. For Washington Apple Health, the consent form is <u>HHS-687.</u> (The agency previously used HCA 13-364.) A Spanish-language form is also available.

Note: The HCA 13-364 form is discontinued. The federal Consent for Sterilization form <a href="https://html.ncbi.nlm.n

- To comply with this requirement, the following provider types must obtain a copy of a completed HHS-687 consent form to attach to their claim:
 - ✓ Surgeons
 - ✓ Anesthesiologists and certified registered nurse anesthetists
 - ✓ Assistant surgeons
 - ✓ The facility in which the sterilization procedure is being performed
- The surgeon must complete and sign the "physician statement" on the <u>HHS-687</u> consent form within 30 days of the sterilization procedure.
- The agency reimburses attending providers after the procedure is completed.
- The agency will deny a claim with an incomplete or improperly completed <u>HHS-687</u> consent form.
- The agency will deny a claim received without the <u>HHS-687</u> consent form.

Who completes the consent form?

• <u>Sections I, II, and III of the sterilization consent form</u> are completed by the client, interpreter (if needed), and the physician or clinic representative. Once the sterilization consent form is signed by all parties, the client must undergo the procedure no sooner than the 31st day and no later than the 180th day after the signature date. The day the consent form is signed is considered day one.

Note: If less than 30 days, refer to <u>waiving the 30-day waiting period</u> and/or section IV of the sterilization consent form.

• <u>Section IV</u>, the bottom right portion of the sterilization consent form, must be completed within 30 days of surgery by the provider who performed the surgery.

Coverage

(WAC 182-531-1550)

Who is eligible for sterilizations?

- Washington Apple Health clients who meet the following criteria:
 - ✓ Men ages 18 and over.
 - ✓ Women ages 18-55. For a woman over 55, prior authorization is required. For information on how to submit a prior authorization request, see the Physician-Related Services/Health Care Professional Services Billing Guide.
- Clients enrolled in the Family Planning Only or TAKE CHARGE programs who meet these age requirements.

What does the agency cover for Family Planning Only and TAKE CHARGE clients?

Coverage

The Family Planning Only and TAKE CHARGE programs have limited coverage for family planning services. All services provided to Family Planning Only and TAKE CHARGE clients must have a primary focus and diagnosis of family planning (contraception). Sterilization is a covered service for Family Planning Only and TAKE CHARGE clients.

Drugs

When a client enrolled in the Family Planning Only and TAKE CHARGE programs undergoes sterilization, the agency covers a limited number of prescription anti-anxiety medications before the sterilization, and pain medications after the sterilization. For information on prescribing and billing limits for drugs related to sterilization procedures, see the agency's Prescription Drug Program Billing Guide.

Payment

For clients in the Family Planning Only and TAKE CHARGE programs, the agency does not pay for other medical services unless they are medically necessary for clients to safely and successfully use their chosen birth control method (including sterilization). See the <u>Family</u> Planning Billing Guide for more details.

For clients in the Family Planning Only and TAKE CHARGE programs, only claims submitted with diagnosis and procedure codes related to family planning and contraception are processed for payment. The agency does not pay for diagnosis and procedure codes that are not related to family planning and contraception under the Family Planning Only and TAKE CHARGE programs. For a list of covered codes, see the <u>Family Planning Fee Schedule</u>.

Other family planning services unrelated to sterilization for a TAKE CHARGE client must be performed by a TAKE CHARGE provider.

Complications

For clients enrolled in the Family Planning Only or TAKE CHARGE programs, the agency covers complications resulting from sterilizations on a case-by-case basis. Contact the agency's Family Planning Program.

When does the agency pay for sterlizations for clients enrolled in managed care plans?

The agency pays for sterilizations for clients age 18 through 20 who are enrolled in an agency-contracted managed care organization (MCO) under fee-for-service. This age group may self-refer to a fee-for-service provider who accepts Medicaid. All other managed care clients age 21 and older must obtain sterilization services from a provider contracted with their agency-contracted MCO.

For information on prescribing, coverage, and billing for drugs related to sterilization procedures when not contracted with an agency-contracted MCO, see the agency's Prescription Drug Program Billing Guide.

When are sterilizations covered?

The agency pays all attending providers for the sterilization procedure only when a qualified provider submits a completed HHS-687 consent form with the claim for reimbursement. The agency pays only after the procedure is completed.

The agency covers sterilizations when all of the following apply:

- The client has voluntarily given informed consent.
- The client undergoes the procedure no sooner than the 31st day and no later than the 180th day after signed consent. The day the consent form is signed is considered day one.
- The client is at least age 18 at the time an agency-approved consent form is signed.
- The client is mentally competent.
- The client participates in a Washington Apple Health program (<u>WAC 182-501-0060</u>).

Does the agency pay for an office visit related to a sterilization procedure?

Yes. The agency pays for an office visit for the visit that includes counseling associated with sterilization and obtaining the client's signature on the consent form. The agency pays for this visit even if after the visit the client chooses not to be sterilized. See the agency's Physician-Related Services/Healthcare Professional Services Billing Guide for how to bill for office visits.

For men in the TAKE CHARGE program, the initial preoperative sterilization office visit can be billed on the same day as a family planning preventive visit. TAKE CHARGE offers very limited services for men. For more information about TAKE CHARGE, see the agency's Family Planning Billing Guide.

Does the agency pay providers for anesthesia for sterilizations?

- Yes. The agency pays for anesthesia necessary to perform sterilization. Follow the billing guidelines in the <u>Physician-Related Service/Health Care Professional Service Billing</u> Guide.
- When sterilization is done in conjunction with a delivery, the agency pays as follows:
 - ✓ If the two procedures are performed during the same operative session, anesthesia time for the sterilization is added to the time for the delivery.
 - The agency pays for epidural anesthesia in excess of the six-hour limit when sterilization is performed in conjunction with or immediately following a delivery.
 - ✓ If the sterilization and delivery are performed during different operative sessions, the time for each procedure is calculated separately.

Does the agency pay for sterilizations performed in conjunction with another procedure?

Yes. For all services provided, complete and submit an agency-approved sterilization consent form.

If the consent form is missing, incomplete, or improperly filled out:

- The agency will deny sterilization and services related to sterilization on the claim. All other covered services on the claim will be processed.
- For inpatient claims, the hospital must indicate on the claim all charges that are associated with the sterilization on their own line with the appropriate revenue code as noncovered. The hospital also must provide an explanation detailing why the sterilization consent requirements were not met.
 - ✓ If the hospital can provide evidence to the agency that the client gave consent for the sterilization procedure, the agency will pay the claim. Evidence includes:
 - A hospital surgical consent that is signed by the client and surgeon for the same date of service, and that indicates a sterilization procedure was performed.

- > The incomplete sterilization consent form.
- ✓ If the hospital cannot produce evidence that the client gave consent for the sterilization procedure, the agency will deny the portion of the claim related to sterilization.
- The agency will always deny payments for improperly completed sterilization forms. See instructions for proper completion in <u>Appendix A.</u>

What are the additional coverage requirements for hysteroscopic sterilizations?

(WAC 182-531-1550(10-12))

- Performed by agency-approved providers: The sterilization must be performed by an agency-approved provider of hysteroscopic sterilizations. A list of these providers and their practice locations can be found on the Sterilization Supplemental Billing Guide webpage. More information is available in provider qualifications specific to hysteroscopic sterilizations and becoming a provider of hysteroscopic sterilizations.
- **Agency approved device:** An agency-approved device must be used. The agency has approved the ESSURE® device, which is placed at the time of the procedure.
 - To be paid for the device, the device must appear on the same claim as the procedure, by the provider that supplied the device. If the surgeon supplied the device, the device should be billed on the same claim as the professional service. If a facility supplied the device and includes it on their claim, the agency's reimbursement covers the cost of the device.
- <u>Performed in outpatient setting:</u> The procedure is performed in an outpatient clinical setting, such as a physician's office, outpatient hospital, or Ambulatory Surgery Center (ASC) without epidural, spinal, or general anesthesia and without the use of a surgical suite, anesthesiologist, or anesthetist. A para-cervical block or IV sedation is bundled in the procedure.
 - ✓ If a provider determines that it is medically necessary to perform the procedure in an inpatient setting or outpatient surgical suite, the provider must submit clinical notes with the claim, documenting the medical necessity.
- **Post-hyersteroscopic sterilization:** The agency covers a post-hysterscopic sterilization hysterosalpingogram to confirm complete blockage of the Fallopian tubes. Payment depends on the client's Medicaid eligibility at the time of service.

- ✓ When a client's Family Planning Only, TAKE CHARGE, or other Washington Apple Health coverage expires after having a hysteroscopic sterilization, the client must reapply for Washington Apple Health benefits and be eligible, in order for the agency to pay for a hysterosalpingogram. Therefore, it's important to check the client's eligibility before doing the ESSURE procedure and again before doing the hysterosalpingogram.
- **Follow-up procedures due to complications:** The agency covers follow-up procedures if a client has complications due to side effects or allergies from the hysteroscopic sterilization or the occlusive device used. The agency does not require another sterilization consent form.
- **Discontinued before procedure:** When the hysteroscopic sterilization must be discontinued prior to completion, the provider may bill for the procedure and receive payment if there is a completed sterilization form submitted with the claim. The agency will also pay for the back-up procedure. A separate completed sterilization consent form must be submitted with the claim for the second procedure, indicating the type of procedure being done.

Note: Consider having the client sign two consent forms on the same day. One for the hysteroscopic sterilization and another for the back-up procedure that will be done if the hysterscopic sterilization is unable to be completed due to medical, surgical, or anatomical complications. This will prevent the client from having to wait another 30 days for the back-up procedure.

Provider qualifications specific to hysteroscopic sterilizations

The hysteroscopic sterilization must be performed by an agency-approved provider who:

- Has a core provider agreement with the agency.
- Is nationally board certified in obstetrics and gynecology (OB-GYN).
- Is privileged to do hysteroscopies at a licensed hospital.
- Has successfully completed the manufacturer's training for the device covered by the agency.
- Has successfully performed a minimum of 20 hysteroscopies.
- Has established screening and follow-up protocols for clients being considered for hysteroscopic sterilization.

Becoming a hysteroscopic sterilization provider

To become an agency-approved provider for hysteroscopic sterilizations, interested providers must send the agency all of the following:

- Documentation of successful completion of the manufacturer's training
- Documentation demonstrating privilege to perform hysteroscopy at a licensed hospital
- Documentation attesting to having successfully performed 20 or more hysteroscopies
- Evidence of valid National Board Certification as an obstetrician or gynecologist
- Office protocols for screening and follow-up for hysteroscopic sterilizations

Submit documentation to:

Family Planning Program familyplanning@hca.wa.gov

Once the agency has received all the required information from the provider, it will send an approval letter to the provider and add the provider's name to the approved-provider list. A provider will be able to perform and bill for the procedure only after receiving the agency's approval letter that provides additional billing details, including an expedited prior authorization (EPA) number.

The list of agency-approved hystereoscopic sterilization providers is posted on the <u>Sterilization Supplemental Billing Guide webpage</u> under the heading. Hysteroscopic Sterilization (ESSURE®) – Approved Providers. Only providers on this list may bill and be paid for hysteroscopic sterilizations.

When is sterilization covered for clients who are institutionalized or have been found mentally incompetent?

 $(\text{WAC } \underline{182 - 531 - 1550}(7))$

Providers must meet the following additional consent requirements before the agency will pay the provider for sterilizing institutionalized clients or clients who have been found mentally incompetent.

The agency requires the following to be attached to the claim:

- A court order including both:
 - ✓ A statement that the client is to be sterilized
 - ✓ The name of the client's legal guardian who will give consent for the sterilization
- The <u>HHS-687</u> consent form signed by the client's legal guardian at least 30 days before the procedure

What are the exceptions to the usual consent requirements for sterilization?

Allowing a 72-hour waiting period

(WAC <u>182-531-1550</u>(4))

In two circumstances, the agency requires at least a 72-hour consent waiting period rather than the 30-day waiting period for sterilization:

- At the time of premature delivery when the client gave consent at least 30 days before the expected date of delivery. The expected date of delivery must be documented on the <u>HHS-687</u> consent form. Premature delivery is a delivery that occurs less than 37 weeks gestation. See instructions for the sterilization consent form.
- For emergency abdominal surgery, including medically-indicated cesarean sections. (The nature of the emergency must be described on the HHS-687 consent form.)

Waiving the 30-day waiting period

(<u>WAC 182-531-1550(5)</u>)

The agency waives the 30-day waiting period for sterilization when the client requests that sterilization be performed at the time of delivery, and completes the agency-approved HHS-687 consent form. For the waiver of the 30-day consent waiting period, one of the following circumstances must apply:

• The client became eligible for medical assistance during the last month of pregnancy.

Language required on the *Claim Note* section of the professional claim and backup documentation: "NOT ELIGIBLE 30 DAYS BEFORE DELIVERY."

• The client did not obtain medical care until the last month of pregnancy.

Language required on the *Claim Note* section of the professional claim and backup documentation: "NO MEDICAL CARE 30 DAYS BEFORE DELIVERY."

• The client was a substance abuser during pregnancy, but is not alcohol or drug-impaired at the time of delivery.

Language required on the *Claim Note* section of the professional claim and backup documentation: "NO SUBSTANCE ABUSE AT TIME OF DELIVERY."

Categorically invalid consent forms

(WAC 182-531-1550(6))

The agency considers a client incapable of informed consent when the client is in any of the following conditions:

- In labor or childbirth
- In the process of seeking to obtain or obtaining an abortion
- Under the influence of alcohol or other substances, including pain medications for labor and delivery, that affect the client's state of awareness and ability to give informed consent

Under any of the conditions listed above, the agency will not accept a signed sterilization consent form.

Coverage Table

Note: Due to its licensing agreement with the American Medical Association, the agency publishes only the official, short CPT® code descriptions. To view the full descriptions, refer to a current CPT book.

| HCPCS/ CPT Code | Short Description | Comments |
|--------------------|--|---|
| 00840 | Anesthesia for intraperitoneal procedures in lower abdomen | May only to be used with 58670 or 58671 |
| 00851 | Anesthesia for intraperitoneal procedure/tubal ligation | |
| 00921 | Anesth vasectomy | |
| 55250 | Removal of sperm duct(s) | Used for vasectomies performed by any method |
| 58600 | Division of fallopian tube | Abdominal or vaginal approach. |
| 58605 | Division of fallopian tube | Associated with a vaginal delivery |
| 58611 | Ligate oviduct(s) add-on | Associated with a cesarean delivery. |
| 58615 | Occlude fallopian tube(s) | For external occlusive devices only, such as band, clip, or <i>Falope</i> ring. Vaginal or suprapubic approach. |
| 58670 | Laparoscopy, tubal cautery | |
| 58671 | Laparoscopy, tubal block | For external occlusive devices only, such as band, clip, or <i>Falope</i> ring. |

Supplemental Billing Guide: Sterilization

| Hysteroscopic Sterilization with ESSURE | | |
|---|---|--|
| 58565 | Hysteroscopy bi tube occlusion w/ perm implants | Requires EPA |
| A4264 | Intratubal occlusion device | Must be billed with 58565 Requires EPA |
| 58340 | Catheter for hysterography | Must be billed with a sterilization diagnosis code |
| 74740 | Hysterosalpingography RS&I | Must be billed with a sterilization diagnosis code |

Office Visits: The agency pays for an office visit for the visit that includes counseling associated with sterilization and obtaining the client's signature on the <u>HHS-687</u> consent form. The agency pays for this visit even if after the visit the client chooses not to be sterilized. See the agency's <u>Physician-Related Services/Healthcare Professional Services Billing Guide</u> for how to bill for evaluation and management visits.

Appendices

Appendix A: Consent form instructions

- All information on the <u>HHS-687</u> consent form **must be legible**.
- The agency accepts complete <u>HHS-687</u> consent forms only, following the instructions below.
- Do not use abbreviations on the form.

The <u>HHS-687</u> consent form must be completed for all clients. (Race, ethnicity, and the interpreter's statement are completed as needed for individual clients).

Instructions for the HHS-687 consent form

(The actual federal consent form does not have section and line numbers. The example in Appendix B has section and line numbers to show where each instruction refers. The wording of the item may be slightly different on the example in Appendix B.)

| Section I: Consent to Sterilization | |
|---|---|
| Line* | Instructions |
| 1. Doctor or Clinic: | Must be the full name of the health professional or clinic (medical group) that gave the client the federally required information regarding sterilization. |
| | This may be different than the performing provider. |
| 2. Specify Type of Operation: | Indicate a <i>single</i> type of sterilization procedure. Procedure must be the same on lines #2, #6, #13, #20. The client may consent to only one type of procedure. |
| | Examples: Vasectomy, bilateral tubal ligation, hysteroscopic sterilization, or ESSURE®. Abbreviations will not be accepted. |
| 3. Date: | Must be the client's birth date. |
| 4. Space for name of person being sterilized: | Must be the client's printed full name. Must be same name as lines #12 and #18 on this form. |
| 5. Doctor or Clinic: | Can be a provider at a specific clinic practice, a clinic name, or a provider on call at a specific clinic practice. |
| | (This does not have to be the same name signed on line #24.) |
| | For example, we will not accept "physician on call." |

^{*}The line numbers correspond to those listed on the sample consent form in Appendix B.

| | Section I: Consent to Sterilization |
|-------------------------------|---|
| 6. Specify Type of Operation: | Indicate type of sterilization procedure. Procedure must be the same on lines #2, #6, #13, #20. |
| | Examples: Vasectomy, bilateral tubal ligation, hysteroscopic sterilization, or ESSURE®. [Abbreviations will not be accepted.] |
| 7. Signature: | Client signature. Must be client's usual legal signature. Must be signed in ink. |
| 8. Date: | Date of consent. Must be the date that client was initially counseled regarding sterilization. |
| | Must be more than 30 days, but less than 181 days, from the date of sterilization (line #19). Note : This is true even of shorter months such as February. |
| | The sterilization may be done on the 31st through 180th day from the date of the client's signature. |
| | Example: If the HHS-687 form was signed on 3/2/2016, the client has met the 30-day wait period and can have their sterilization on 4/1/2016. |
| | If less than 30 days, see <u>waiving the 30 day waiting period</u> and section IV of the form. |

| Section II: Interpreter's Statement | |
|-------------------------------------|--|
| Line | Instructions |
| 9. Space for client's language: | Must specify language into which the sterilization information statement has been translated to for the client. |
| 10. Interpreter's Signature: | Must be interpreter's original signature in ink. |
| 11. Date: | The date the interpreter translated for the client and the date the client signed must be the same. Must be the same date as lines #8 and #15. |

| Section III: Statement of Person Obtaining Consent | | |
|--|--|--|
| Line | Instructions | |
| 12. Name of Individual: | Must be the client's printed full name. Must be the same name as lines #4 and #18 on this form. | |
| 13. Specify Type of Operation: | Indicate type of sterilization procedure. Procedure must be the same on lines #2, #6, #13, #20. Examples: Vasectomy, bilateral tubal ligation, hysteroscopic sterilization, or ESSURE®. [Abbreviations will not be accepted.] | |
| 14. Signature of Person Obtaining Consent: | Must be usual legal signature signed in ink. | |
| 15. Date: | Date consent was obtained (must be the same as #8). | |
| 16. Facility: | Must be full name of clinic or provider's office obtaining consent. (No abbreviations will be accepted.) | |
| 17. Address: | Must be physical address of clinic or provider's office where the consent was signed. | |

| Section IV: Physician's Statement | | |
|-----------------------------------|--|--|
| Line | Instructions | |
| 18. Name of Individual: | Must be the client's printed full name. Must be same name as lines #4 and #12 on this form. | |
| 19. Date of Sterilization: | Must be more than 30 days, but less than 181 days, from client's signed consent date listed in line #8. If less than 30 days, see waiving the 30 day waiting period and section IV of the form. | |
| 20. Specify Type of Operation: | Indicate type of sterilization procedure. Procedure must the same on lines #2, #6, and #13. Examples: Vasectomy, bilateral tubal ligation, hysteroscopic sterilization, or ESSURE®. Abbreviations will not be accepted. | |
| 21. Premature Delivery: | Check if delivery is premature. Premature means a delivery occurring at less than 37 weeks gestation. | |

| Section IV: Physician's Statement | | |
|-----------------------------------|---|--|
| 22. Expected Date of Delivery: | To be completed if there is a premature delivery. Enter the <i>expected</i> date of delivery. Do not use the actual date of delivery. | |
| 23. Emergency Abdominal Surgery: | Check if emergency abdominal surgery is required. List diagnoses codes if sterilization was done at the time of emergency abdominal surgery. This includes medically indicated cesarean sections. | |
| 24. [blank] | Print the provider's name signed on line #25. The provider's name must be printed above the signature or located nearby to verify the claim for payment. | |
| 25. Physician's Signature: | Must be the provider who actually performed sterilization procedure. Must be signed in ink. Name must be the same name as on the claim submitted for payment. | |
| 26. Date: | Date of provider's signature. Must be completed shortly after the sterilization procedure. | |

Completion of **HHS-687** sterilization consent form for a client ages 18-20

- Use the HHS-687 consent form.
- Cross out "age 21" in the following three places on the form and write in the client's age:
 - ✓ Section I: Consent to Sterilization: "I am at least 21..."
 - ✓ Section III: Statement of Person Obtaining Consent: "To the best of my knowledge... is at least 21..."
 - ✓ Section IV: Physician's Statement: "To the best of my knowledge... is at least 21..."

Appendix B: Consent form

(This is an example. The current agency approved sterilization form is federal form HHS-687.)

Form Approved: OMB No. 0937-0166 Expiration date: 12/31/2018

CONSENT FOR STERILIZATION

NOTICE: YOUR DECISION AT ANY TIME NOT TO BE STERILIZED WILL NOT RESULT IN THE WITHDRAWAL OR WITHHOLDING OF ANY BENEFITS PROVIDED BY PROGRAMS OR PROJECTS RECEIVING FEDERAL FUNDS.

| OF ANT BENEFITS FROM BED BY FROGRAMS OR F | NOJECTS NECEIVING LEDENAL LONDS. |
|--|---|
| ■ CONSENT TO STERILIZATION ■ | ■ STATEMENT OF PERSON OBTAINING CONSENT ■ |
| I have asked for and received information about sterilization from | Before (12) signed the |
| (1) . When I first asked | Name of Individual consent form, I explained to him/her the nature of sterilization operation |
| Doctor or Clinic for the information, I was told that the decision to be sterilized is com- | (13) , the fact that it is |
| pletely up to me. I was told that I could decide not to be sterilized. If I decide not to be sterilized, my decision will not affect my right to future care | Specify Type of Operation intended to be a final and irreversible procedure and the discomforts, risks |
| or treatment. I will not lose any help or benefits from programs receiving Federal funds, such as Temporary Assistance for Needy Families (TANF) | and benefits associated with it. I counseled the individual to be sterilized that alternative methods of |
| or Medicaid that I am now getting or for which I may become eligible. | birth control are available which are temporary. I explained that steriliza- |
| I UNDERSTAND THAT THE STERILIZATION MUST BE CONSIDERED PERMANENT AND NOT REVERSIBLE. I HAVE DECIDED THAT I DO | tion is different because it is permanent. I informed the individual to be |
| NOT WANT TO BECOME PREGNANT, BEAR CHILDREN OR FATHER CHILDREN. | sterilized that his/her consent can be withdrawn at any time and that he/she will not lose any health services or any benefits provided by Federal funds. |
| I was told about those temporary methods of birth control that are | To the best of my knowledge and belief the individual to be sterilized is |
| available and could be provided to me which will allow me to bear or father a child in the future. I have rejected these alternatives and chosen to be | at least 21 years old and appears mentally competent. He/She knowingly and voluntarily requested to be sterilized and appears to understand the |
| sterilized. I understand that I will be sterilized by an operation known as a | nature and consequences of the procedure. |
| (2) . The discomforts, risks | (14) (15) |
| Specify Type of Operation | Signature of Person Obtaining Consent Date |
| and benefits associated with the operation have been explained to me. All my questions have been answered to my satisfaction. | (16) Facility |
| I understand that the operation will not be done until at least 30 days | (17) |
| after I sign this form. I understand that I can change my mind at any time and that my decision at any time not to be sterilized will not result in the | Address |
| withholding of any benefits or medical services provided by federally | PHYSICIAN'S STATEMENT |
| funded programs. | Shortly before I performed a sterilization operation upon |
| I am at least 21 years of age and was born on: (3) Date | (18) on (19) Name of Individual Date of Sterilization |
| I, (4) , hereby consent of my own | I explained to him/her the nature of the sterilization operation |
| free will to be sterilized by (5) | (20) , the fact that it is |
| Doctor or Clinic | Specify Type of Operation |
| by a method called (6) . My | intended to be a final and irreversible procedure and the discomforts, risks |
| Specify Type of Operation consent expires 180 days from the date of my signature below. | and benefits associated with it. I counseled the individual to be sterilized that alternative methods of |
| I also consent to the release of this form and other medical records | birth control are available which are temporary. I explained that steriliza- |
| about the operation to: | tion is different because it is permanent. I informed the individual to be sterilized that his/her consent can |
| Representatives of the Department of Health and Human Services, or Employees of programs or projects funded by the Department | be withdrawn at any time and that he/she will not lose any health services |
| but only for determining if Federal laws were observed. | or benefits provided by Federal funds. |
| I have received a copy of this form | To the best of my knowledge and belief the individual to be sterilized is at least 21 years old and appears mentally competent. He/She knowingly |
| (7) | and voluntarily requested to be sterilized and appeared to understand the |
| Signature Date | nature and consequences of the procedure. (Instructions for use of alternative final paragraph: Use the first |
| You are requested to supply the following information, but it is not required: (Ethnicity and Race Designation) (please check) | paragraph below except in the case of premature delivery or emergency |
| Ethnicity: Race (mark one or more): | abdominal surgery where the sterilization is performed less than 30 days after the date of the individual's signature on the consent form. In those |
| Hispanic or Latino American Indian or Alaska Native | cases, the second paragraph below must be used. Cross out the para- |
| Not Hispanic or Latino Asian | graph which is not used.) |
| ☐ Black or African American ☐ Native Hawaiian or Other Pacific Islander | (1) At least 30 days have passed between the date of the individual's signature on this consent form and the date the sterilization was |
| ☐ White | performed. |
| INTERDRETEDIC CTATEMENT | (2) This sterilization was performed less than 30 days but more than 72 hours after the date of the individual's signature on this consent form |
| ■ INTERPRETER'S STATEMENT ■ | because of the following circumstances (check applicable box and fill in |
| If an interpreter is provided to assist the individual to be sterilized: I have translated the information and advice presented orally to the in- | information requested): |
| dividual to be sterilized by the person obtaining this consent. I have also | Premature delivery (21) Individual's expected date of delivery: (22) |
| read him/her the consent form in (9) | Emergency abdominal surgery (describe circumstances): (23) |
| language and explained its contents to him/her. To the best of my knowledge and belief he/she understood this explanation. | |
| | (24) |
| (10) | (25) (26) |
| Interpreter's Signature Date | Physician's Signature Date |
| HHS-687 (10/12) | |

Appendix C: The top 10 reasons sterilization claims are denied

- A copy of the consent form is NOT attached.
- There are blank lines on the consent form. (All lines in sections <u>I, II, and IV</u> must be completed, except lines 21, 22, and 23 which are required only in certain cases.)
- Lines are not completed correctly on the consent form, or inaccurate information is included rather than what is needed.
- On the consent form, there are fewer than 30 days from the date of the client's signature (line 8) to the date of the sterilization operation (line 19).
- The sterilization date on the consent form (line 19) is not the same as the sterilization date on the claim.
- The provider who signs the consent form (line 24) is not the provider listed on the claim as performing the sterilization procedure.
- The provider's signature is illegible on the consent form and the provider's name is not printed above his or her signature (line 24).
- The handwriting on the consent form is illegible or the photocopy quality is too poor to read.
- No expected date of delivery is listed with a premature delivery (line 22).
- The client consents to surgical sterilization and a hysteroscopic sterilization on the same consent form (tubal ligation and ESSURE).