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RULE-MAKING ORDER PERMANENT RULE ONLY

CR-103P (December 2017) (Implements RCW 34.05.360)

OFFICE OF THE CODE REVISER STATE OF WASHINGTON FILED

DATE: July 30, 2018 TIME: 9:34 AM

WSR 18-16-071

Agency: Health Care Authority

Effective date of rule:

Permanent Rules

 \boxtimes 31 days after filing.

Other (specify) (If less than 31 days after filing, a specific finding under RCW 34.05.380(3) is required and should be stated below)

Any other findings required by other provisions of law as precondition to adoption or effectiveness of rule? \Box Yes \boxtimes No If Yes, explain:

Purpose: The agency is amending WAC 182-531A-0800, Applied behavior analysis (ABA) – Provider requirements, to correct typographical errors in two form numbers. In WAC 182-531A-0800(4), the correct form number is HCA 13-0009, not 13-009. In WAC 182-531A-0800(8)(b), the correct form number is HCA 13-0008, not 13-008. This correction is necessary so that providers can find the correct form on HCA's Forms web page.

Citation of rules affected by this order:

New: Repealed:

Amended: 182-531A-0800

Suspended:

Statutory authority for adoption: RCW 41.05.021, 41.05.160

Other authority: NA

PERMANENT RULE (Including Expedited Rule Making)

Adopted under notice filed as <u>WSR 18-11-092</u> on <u>May 18, 2018</u> (date). Describe any changes other than editing from proposed to adopted version: None

If a preliminary cost-benefit analysis was prepared under RCW 34.05.328, a final cost-benefit analysis is available by contacting:

Name: Address: Phone:

Fax:

TTY:

Email: Web site:

Other:

| Note: If any category is left blank, it will be calculated as zero. No descriptive text. | | | | | | |
|--|-------|---------------|--------------|----------|----------|--|
| Count by whole WAC sections only, from the WAC number through the history note. A section may be counted in more than one category. | | | | | | |
| The number of sections adopted in order to comply | with: | | | | | |
| Federal statute: | New | | Amended | | Repealed | |
| Federal rules or standards: | New | | Amended | | Repealed | |
| Recently enacted state statutes: | New | | Amended | | Repealed | |
| The number of sections adopted at the request of a nongovernmental entity: | | | | | | |
| | New | | Amended | | Repealed | |
| The number of sections adopted on the agency's own initiative: | | | | | | |
| | New | · | Amended | | Repealed | |
| The number of sections adopted in order to clarify, streamline, or reform agency procedures: | | | | | | |
| | New | | Amended | <u>1</u> | Repealed | |
| The number of sections adopted using: | | | | | | |
| Negotiated rule making: | New | | Amended | | Repealed | |
| Pilot rule making: | New | | Amended | | Repealed | |
| Other alternative rule making: | New | | Amended | <u>1</u> | Repealed | |
| Date Adopted: July 30, 2018 | | Signature: | \mathbf{i} | | | |
| Name: Wendy Barcus | | worder yoneld | | | | |
| Title: HCA Rules Coordinator | | | V S | | | |

AMENDATORY SECTION (Amending WSR 18-09-036, filed 4/12/18, effective 5/13/18)

WAC 182-531A-0800 Applied behavior analysis (ABA)—Provider requirements.

Center of excellence.

(1) A center of excellence (COE) may be an entity or an individual. The COE's evaluating and prescribing providers must function as a multidisciplinary care team.

(2) The COE must employ:

(a) A person licensed under Title 18 RCW who is experienced in the diagnosis and treatment of autism spectrum disorders and is:(i) A developmental pediatrician;

(ii) A neurologist;

(iii) A pediatric neurologist;

(iv) A pediatric psychiatrist;

(v) A psychiatrist; or

(vi) A psychologist; or

(b) A qualified medical provider who meets qualifications in subsection (3) of this section and who has been designated by the agency as a COE.

(3) The COE must be prequalified by the agency as meeting or employing people who meet the following criteria:

(a) ARNPs, physicians, and psychologists must have demonstrated expertise in diagnosing an autism spectrum disorder by:

(i) Using a validated diagnostic tool;

(ii) Confirming the diagnosis by observing the client's behavior and interviewing family members; or

(iii) Reviewing the documentation available from the client's primary care provider, individualized education plan, or individualized family service plan;

(b) ARNPs, physicians, and psychologists must understand the medically necessary use of applied behavior analysis (ABA); and

(c) ARNPs, physicians, and psychologists must be sufficiently qualified to conduct and document a comprehensive diagnostic evaluation, and develop a multidisciplinary clinical treatment plan under WAC 182-531A-0500(2).

(4) To be recognized as a COE by the agency, the provider must submit a signed COE Attestation form, HCA $((\frac{13-009}{13-0009}))$, to the agency.

(5) The COE must be enrolled with the agency or the client's managed care organization to be reimbursed for services.

(6) Examples of providers who can qualify as a designated COE include:

(a) Multidisciplinary clinics;

(b) Individual qualified provider offices; and

(c) Neurodevelopmental centers.

(7) All ABA providers must meet the specified minimum qualifications and comply with applicable state laws.

Lead behavior analysis therapist.

(8) The lead behavior analysis therapist (LBAT) must:

(a) Be licensed by the department of health (DOH) to practice independently as a behavior analyst or an assistant behavior analyst with supervision from a licensed behavior analyst or licensed psychologist (see chapter 18.380 RCW) and be an eligible provider according to chapter 182-502 WAC; or

(b) Be a DOH-licensed mental health counselor, DOH-licensed marriage and family therapist, DOH-licensed independent clinical social worker, DOH-licensed advanced social worker, or DOH-licensed psychologist (see chapter 18.380 RCW). Providers listed in this subsection must have a signed Applied Behavior Analysis (ABA) Attestation form, HCA ((13-008)) <u>13-0008</u>, regarding certification as a board-certified behavior analyst (BCBA) or a board-certified assistant behavior analyst (BCaBA) on file with the agency.

(9) The LBAT must enroll as a servicing provider under chapter 182-502 WAC, be authorized to supervise ancillary providers, and be:

(a) A DOH-licensed behavior analyst (LBA) (see chapter 18.380 RCW); or

(b) A DOH-licensed assistant behavior analyst (LABA) (see chapter 18.380 RCW).

(10) If the LBAT's role is filled by a LABA, the responsibilities below must be fulfilled by both the LABA and the supervising LBA or licensed psychologist, as required by DOH under chapter 246-805 WAC. The LBAT must:

(a) Develop and maintain an ABA therapy treatment plan that is comprehensive, incorporating treatment provided by other health care professionals, and that states how all treatment will be coordinated; and

(b) Supervise at least five percent of the total direct care provided by the certified behavior technician per week.

Certified behavior technician.

(11) The certified behavior technician (CBT) must:

(a) Be certified by DOH as a CBT under chapter 18.380 RCW in good standing with no license restrictions; or

(b) Be a DOH-licensed mental health counselor, DOH-licensed marriage and family therapist, DOH-licensed independent clinical social worker, DOH-licensed advanced social worker, or DOH-licensed psychologist (see chapter 18.380 RCW). Providers listed in this subsection must have a signed Applied Behavior Analysis (ABA) Attestation form, HCA 13-0008, regarding ABA qualifications on file with the agency.

(12) The CBT must enroll as a servicing provider under chapter 182-502 WAC.

(13) The CBT must:

(a) Deliver services according to the ABA therapy treatment plan;

(b) Be supervised by a DOH-licensed professional who meets the requirements under WAC 246-805-330; and

(c) Review the client's progress with the supervisor at least every two weeks to confirm that the ABA therapy treatment plan still meets the client's needs. If changes are clinically indicated, they must be made by the supervisor.

Facility-based day program.

(14) All facility-based day program providers must meet the requirements under WAC 182-531A-0600 (3)(a), and meet the following requirements:

(a) Outpatient hospital facilities must meet the applicable DOH licensure requirements under chapter 246-320 WAC;

(b) Any provider rendering direct ABA services in the facilitybased day program must meet the qualifications and applicable licensure or certification requirements as described in this subsection, as applicable; (c) Any provider serving as a member of the multidisciplinary care team must be licensed or certified under Title 18 RCW; and(d) Have a signed ABA Day Program Capacity Attestation form, HCA 13-0007, on file with the agency.