

Washington Apple Health (Medicaid)

Sterilization Supplemental Billing Guide

April 1, 2020

Every effort has been made to ensure this guide's accuracy. If an actual or apparent conflict between this document and an agency rule arises, the agency rules apply.



About this guide^{*}

This publication takes effect April 1, 2020, and supersedes earlier billing guides to this program.

The Health Care Authority (agency) is committed to providing equal access to our services. If you need an accommodation or require documents in another format, please call 1-800-562-3022. People who have hearing or speech disabilities, please call 711 for relay services.

Washington Apple Health means the public health insurance programs for eligible Washington residents. Washington Apple Health is the name used in Washington State for Medicaid, the children's health insurance program (CHIP), and stateonly funded health care programs. Washington Apple Health is administered by the Washington State Health Care Authority.

Subject	Change	Reason for Change
<u>What is</u> sterilization?	Removed blue box regarding resubmission of claims for salpingectomy.	Information is no longer valid
Who is eligible for sterilizations?	Added a reference to clients who are institutionalized or have been found mentally incompetent and a hyperlink to that section in the guide. Reordered bullets in section.	To improve clarity
Does the agency pay anesthesia providers for sterilizations?	Removed bullet regarding the agency paying for claims submitted without Section IV of the consent form being completed	To comply with 42 CFR Ch. IV, Subpart F, 441.256
Does the agency pay assistant surgeons for sterilizations?	Removed bullet regarding the agency paying for claims submitted without Section IV of the consent form being completed	To comply with 42 CFR Ch. IV, Subpart F, 441.256

What has changed?

^{*}This publication is a billing instruction.

Subject	Change	Reason for Change
Does the agency pay the facility for sterilizations performed in a facility?	Removed bullet regarding the agency paying for claims submitted without Section IV of the consent form being completed	To comply with 42 CFR Ch. IV, Subpart F, 441.256
When does the agency cover sterilization for clients who are institutionalized or have been found mentally incompetent?	Rewrote entire section, making it clear that prior authorization is required	To comply with WAC 182-531- 0200, making it clear that prior authorization is required for these vulnerable populations
Coverage Table	Added CPT® code 58661 to the list of codes that may be used with CPT® code 00840 in first row of table	To correct an oversight
<u>Appendix C:</u> <u>Common reasons</u> <u>sterilization claims</u> <u>are denied</u>	Added "Chart labels or stickers cover up information or signatures on the consent form" to the list of reasons claims are denied	To help reduce number of claims denied

How can I get agency provider documents?

To access provider alerts, go to the agency's provider alerts webpage.

To access provider documents, go to the agency's <u>provider billing guides and fee schedules</u> webpage.

Where can I download agency forms?

To download an agency provider form, go to the agency's <u>Forms & publications</u> webpage. Type the agency form number into the **Search box** as shown below (Example: 13-835).

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Resources Available

Торіс	Resource
Obtaining information regarding reproductive health or family planning programs, including questions about sterilization	Visit the agency's <u>Family Planning Billing Guide</u> . Visit the Apple Health <u>Billers, providers, and partners "contact us"</u> webpage. Contact the Family Planning Program: <u>familyplanning@hca.wa.gov</u>
Agency-approved Sterilization Consent form	Visit <u>U.S. Department of Health and Human Services</u> to download English and Spanish versions of HHS-687.
Pharmacy information	See the agency's <u>Pharmacy Information</u> and the <u>Prescription Drug</u> <u>Program Billing Guide</u> .
Additional agency resources	See the agency's <u>Billers, providers, and partners</u> webpage.
Billing and Claims	Providers must follow the billing requirements listed in the agency's <u>ProviderOne Billing and Resource Guide</u> .
For additional billing guidance	 See the following billing guides: Outpatient Hospital Billing Guide Physician-Related/Professional Services Billing Guide Professional Administered Drugs Fee Schedule

Definitions

This section defines terms and abbreviations, including acronyms, used in this billing guide. Refer to <u>Chapter 182-500 WAC</u> and <u>WAC 182-532-001</u> for additional definitions.

Contraceptive – A device, drug, product, method, or surgical intervention used to prevent pregnancy.

Family Planning Only program – The program that covers family only services for eligible clients for 12 months from the date the agency determines eligibility. This program was formerly referred to as TAKE CHARGE. <u>WAC 182-532-001</u>.

Family Planning Only-Pregnancy Related program – The agency's program providing an additional 10 months of family planning services to eligible clients at the end of their pregnancy. This benefit follows the 60-day post-pregnancy coverage for clients who received medical assistance benefits during the pregnancy.

Family planning services – Medically safe and effective medical care, educational services, and contraceptives that enable people to plan and space the number of their children and avoid unintended pregnancies.

Informed consent – A person's consent to a procedure after the provider who obtained a properly completed consent form has done all of the following:

- Disclosed and discussed the client's diagnosis
- Offered the client an opportunity to ask questions about the procedure and to request information in writing

- Given the client a copy of the consent form
- Communicated effectively using any language interpretation or special communication device necessary per 42 CFR 441.257
- Given the client oral information about all of the following:
 - ✓ The client's right to not obtain the procedure, including potential risks, benefits, and the consequences of not obtaining the procedure
 - ✓ Alternatives to the procedure including potential risks, benefits, and consequences
 - ✓ The procedure itself, including potential risks, benefits, and consequences

Sterilization Consent form – Unless otherwise specified in this billing guide, federal form <u>HHS-687.</u>

Tubal sterilization – A permanent voluntary surgical procedure in which the Fallopian tubes are blocked, clamped, cut, burned, or removed to prevent pregnancy.

Vasectomy – A permanent voluntary surgical procedure in which the vas deferens (tubes that carry sperm from the testicles to the seminal vesicles) are cut, tied, burned or otherwise interrupted to prevent pregnancy.

Client Eligibility

Most Apple Health clients are enrolled in an agency-contracted managed care organization (MCO). This means that Apple Health pays a monthly premium to an MCO for providing preventative, primary, specialty, and other health services to Apple Health clients. Clients in managed care must see only providers who are in their MCO's provider network, unless prior authorized or to treat urgent or emergent care. See the agency's <u>Apple Health managed care page</u> for further details.

It is important to always check a client's eligibility prior to providing any services because it affects who will pay for the services.

How do I verify a client's eligibility?

Check the client's Services Card or follow the two-step process below to verify that a client has Apple Health coverage for the date of service and that the client's benefit package covers the applicable service. This helps prevent delivering a service the agency will not pay for.

Verifying eligibility is a two-step process:

Step 1. Verify the patient's eligibility for Apple Health. For detailed instructions on verifying a patient's eligibility for Apple Health, see the *Client Eligibility, Benefit Packages, and Coverage Limits* section in the agency's ProviderOne Billing and Resource Guide.

If the patient is eligible for Apple Health, proceed to **Step 2**. If the patient is **not** eligible, see the note box below.

Step 2. Verify service coverage under the Apple Health client's benefit package. To determine if the requested service is a covered benefit under the Apple Health client's benefit package, see the agency's <u>Program Benefit Packages and Scope of Services</u> webpage.

Note: Patients who are not Apple Health clients may submit an application for health care coverage in one of the following ways:

- 1. By visiting the Washington Healthplanfinder's website at: www.wahealthplanfinder.org
- 2. By calling the Customer Support Center toll-free at: 855-WAFINDER (855-923-4633) or 855-627-9604 (TTY)
- 3. By mailing the application to: Washington Healthplanfinder PO Box 946 Olympia, WA 98507

In-person application assistance is also available. To get information about inperson application assistance available in their area, people may visit <u>www.wahealthplanfinder.org</u> or call the Customer Support Center.

Are clients enrolled in an agency-contracted managed care organization (MCO) eligible?

Yes. Most Medicaid-eligible clients are enrolled in one of the agency's contracted managed care organizations (MCOs). For these clients, managed care enrollment will be displayed on the client benefit inquiry screen in ProviderOne.

All medical services covered under an agency-contracted MCO must be obtained by the client through designated facilities or providers. The MCO is responsible for:

- Payment of covered services
- Payment of services referred by a provider participating with the plan to an outside provider

Note: A client's enrollment can change monthly. Providers who are not contracted with the MCO must receive approval from **both** the MCO and the client's primary care provider (PCP) prior to serving a managed care client.

Send claims to the client's MCO for payment. Call the client's MCO to discuss payment prior to providing the service. Providers may bill clients only in very limited situations as described in <u>WAC 182-502-0160.</u>

Note: To prevent billing denials, check the client's eligibility **prior** to scheduling services and at the **time of the service**, and make sure proper authorization or referral is obtained from the agency-contracted MCO, if appropriate. See the agency's <u>ProviderOne Billing and Resource Guide</u> for instructions on how to verify a client's eligibility.

Managed care enrollment

Apple Health (Medicaid) places clients into an agency-contracted MCO the same month they are determined eligible for managed care as a new or renewing client. This eliminates a person being placed temporarily in FFS while they are waiting to be enrolled in an MCO or reconnected with a prior MCO. This enrollment policy also applies to clients in FFS who have a change in the program they are eligible for. However, some clients may still start their first month of eligibility in the FFS program because their qualification for MC enrollment is not established until the month following their Medicaid eligibility determination.

New clients are those initially applying for benefits or those with changes in their existing eligibility program that consequently make them eligible for Apple Health managed care. Renewing clients are those who have been enrolled with an MCO but have had a break in enrollment and have subsequently renewed their eligibility.

Checking eligibility

- Providers must check eligibility and know when a client is enrolled and with which MCO. For help with enrolling, clients can refer to the Washington Healthplanfinder's <u>Get Help Enrolling</u> page.
- MCOs have retroactive authorization and notification policies in place. The provider must know the MCO's requirements and be compliant with the MCO's policies.

Apple Health – Changes for January 1, 2020

Effective January 1, 2020, the Health Care Authority (agency) completed the move to wholeperson care to allow better coordination of care for both body (physical health) and mind (mental health and substance use disorder treatment, together known as "behavioral health"). This delivery model is called Integrated Managed Care (formerly Fully Integrated Managed Care, or FIMC, which still displays in ProviderOne and Siebel).

IMC is implemented in the last three regions of the state:

- **Great Rivers** (Cowlitz, Grays Harbor, Lewis, Pacific, and Wahkiakum counties)
- Salish (Clallam, Jefferson, and Kitsap counties)
- Thurston-Mason (Mason and Thurston counties)

These last three regions have plan changes, with only Amerigroup, Molina, and United Healthcare remaining. There are changes to the plans available in these last three regions. The only plans that will be in these regions are Amerigroup, Molina, and United. If clients are currently enrolled in one of these three health plans, their health plan will not change.

Clients have a variety of options to change their plan:

- Available to clients with a Washington Healthplanfinder account: Go to <u>Washington HealthPlanFinder website</u>.
- Available to all Apple Health clients:
 - ✓ Visit the <u>ProviderOne Client Portal website</u>:
 - ✓ Call Apple Health Customer Service at 1-800-562-3022. The automated system is available 24/7.
 - ✓ Request a change online at <u>ProviderOne Contact Us</u> (this will generate an email to Apple Health Customer Service). Select the topic "Enroll/Change Health Plans."

For online information, direct clients to the agency's <u>Apple Health Managed Care</u> webpage.

Clients who are not enrolled in an agency-contracted managed care plan for physical health services

Some Medicaid clients do not meet the qualifications for managed care enrollment. These clients are eligible for services under the FFS Medicaid program. In this situation, each IMC plan will have Behavioral Health Services Only (BHSO) plans available for Apple Health clients who are not in managed care. The BHSO covers only behavioral health treatment for those clients. Clients who are not enrolled in an agency-contracted managed care plan are automatically enrolled in a BHSO, with the exception of American Indian/Alaska Native clients. Some examples of populations that may be exempt from enrolling into a managed care plan are Medicare dual-eligible, American Indian/Alaska Native, Adoption support and Foster Care alumni.

Integrated managed care

Clients qualified for managed care enrollment and living in IMC regions will receive all physical health services, mental health services, and substance use disorder treatment through their agency-contracted MCO.

American Indian/Alaska Native (AI/AN) clients have two options for Apple Health coverage:

- Apple Health Managed Care; or
- Apple Health coverage without a managed care plan (also referred to as fee-for-service [FFS]).

If a client does not choose an MCO, they will be automatically enrolled into Apple Health FFS for all their health care services, including comprehensive behavioral health services. See the agency's <u>American Indian/Alaska Native</u> webpage.

For more information about the services available under the FFS program, see the agency's <u>Mental Health Services Billing Guide</u> and the <u>Substance Use Disorder</u> <u>Billing Guide</u>.

For full details on integrated managed care, see the agency's <u>Apple Health managed care</u> <u>webpage</u> and scroll down to "Changes to Apple Health managed care."

Integrated managed care regions

Clients residing in integrated managed care regions and who are eligible for managed care enrollment must choose an available MCO in their region. Details, including information about mental health crisis services, are located on the agency's <u>Apple Health managed care webpage</u>.

Region	Counties	Effective Date
Great Rivers	Cowlitz, Grays Harbor,	January 1, 2020
	Lewis, Pacific, and	
	Wahkiakum	
Salish	Clallam, Jefferson, Kitsap	January 1, 2020
Thurston-Mason	Mason, Thurston	January 1, 2020
North Sound	Island, San Juan, Skagit,	July 1, 2019
	Snohomish, and Whatcom	
Greater Columbia	Asotin, Benton, Columbia,	January 1, 2019
	Franklin, Garfield, Kittitas,	
	Walla Walla, Yakima, and	
	Whitman	
King	King	January 1, 2019
Pierce	Pierce	January 1, 2019

Region	Counties	Effective Date
Spokane	Adams, Ferry, Lincoln, Pend	January 1, 2019
	Oreille, Spokane, and Stevens	
	counties	
North Central	Grant, Chelan, Douglas, and	January 1, 2018
	Okanogan	January 1, 2019 (Okanogan)
Southwest	Clark, Skamania, and	April 2016
	Klickitat	January 1, 2019 (Klickitat)

Integrated Apple Health Foster Care (AHFC)

Children and young adults in the Foster Care, Adoption Support and Alumni programs who are enrolled in Coordinated Care of Washington's (CCW) Apple Health Foster Care program receive both medical and behavioral health services from CCW.

Clients under this program are:

- Under the age of 21 who are in foster care (out of home placement)
- Under the age of 21 who are receiving adoption support
- Age 18-21 years old in extended foster care
- Age 18 to 26 years old who aged out of foster care on or after their 18th birthday (alumni)

These clients are identified in ProviderOne as "Coordinated Care Healthy Options Foster Care."

The Apple Health Customer Services staff can answer general questions about this program. For specific questions about Adoption Support, Foster Care or Alumni clients, contact the agency's Foster Care Medical Team at 1-800-562-3022, Ext. 15480.

Fee-for-service Apple Health Foster Care

Children and young adults in the fee-for-service Apple Health Foster Care, Adoption Support and Alumni programs receive behavioral health services through the regional Behavioral Health Services Organization (BHSO). For details, see the agency's <u>Mental Health Services Billing</u> <u>Guide</u>, under *How do providers identify the correct payer*?

About Sterilization

What is sterilization?

(WAC <u>182-531-1550</u>(1))

Sterilization is any medical procedure, treatment, or operation for the purpose of rendering a client permanently incapable of reproducing. This includes vasectomies and tubal sterilizations (including salpingectomies).

When a salpingectomy is performed for sterilization only, all requirements in this billing guide must be met, including completing the federal consent form, and using diagnosis code Z30.2.

When salpingectomy is performed for sterilization only for Family Planning Only Pregnancy-Related and Family Planning Only programs, all requirements in this billing guide must be met, including completing the federal consent form, and using diagnosis code Z30.2 with modifier FP. A salpingectomy for sterilization when performed during a cesarean section must be billed using the add-on CPT code 58611.

A medically necessary salpingectomy performed to treat cancer, ectopic pregnancy, prophylaxis to prevent ovarian cancer in a high risk person, and other gynecological reasons is not considered a sterilization and is not subject to the requirements in this billing guide, including completing a sterilization consent form.

Note: Hysterectomy, oophorectomy, and urological procedures are not sterilization procedures. The agency does not pay for these procedures when performed solely for the purpose of sterilization. These procedures are covered only when medically necessary. A sterilization consent form is not required when these procedures are performed for medical necessity. The client must be informed as part of the surgical consent that the procedure will cause sterility. For more information about these procedures and billing guidelines, see the <u>Physician-Related Services/Health Care Professional Services Billing Guide</u>. The Hysterectomy Consent and Patient Information Form (<u>HCA 13-365</u>) is required for approval and payment. A new version of this form is available for use. For clients signing a hysterectomy consent form on or after January 1, 2020, use the November 2019 version of the form.

Who may perform sterilizations?

(<u>WACs 182-531-1550</u>(3))

Any Washington Apple Health (Medicaid) provider who is licensed to do sterilizations within their scope of practice may provide vasectomies and tubal sterilizations to any Washington Apple Health client, including Family Planning Only-Pregnancy Related and Family Planning Only clients.

What are the consent requirements?

(<u>WAC 182-531-1550(9)</u>)

Federal regulations (42 CFR Ch. IV, Subpart F, 441.256) prohibit payment for sterilization procedures until a federally approved and accurately completed sterilization consent form is received with a claim. For Washington Apple Health, the consent form is federal form <u>HHS-687</u>. A Spanish-language form is also available. Information and instructions for accurately completing the HHS-687 consent form is included in Appendix A.

- To comply with this requirement, the following provider types must attach a copy of a completed <u>HHS-687</u> consent form to their claim:
 - ✓ Surgeons
 - \checkmark Anesthesiologists and certified registered nurse anesthetists
 - ✓ Assistant surgeons
 - \checkmark The facility in which the sterilization procedure was performed
- The surgeon must complete and sign the "physician statement" on the <u>HHS-687</u> consent form within 30 days of the sterilization procedure.
- The agency will deny a claim with a <u>HHS-687</u> consent form that is missing information or not completed according to instructions in Appendix A.
- The agency will deny a claim received without the <u>HHS-687</u> consent form.

Completion of <u>HHS-687</u> sterilization consent form for a client ages 18-20

- Use the HHS-687 consent form.
- Cross out "**age 21**" in the following three places on the form and write in the client's age:
 - ✓ Section I: Consent to Sterilization: "I am at least 21..."
 - ✓ Section III: Statement of Person Obtaining Consent: "To the best of my knowledge... is at least 21..."
 - ✓ Section IV: Physician's Statement: "To the best of my knowledge... is at least 21..."

Who completes the consent form?

• <u>Sections I, II, and III of the sterilization consent form</u> are completed by the client, interpreter (if needed), and a provider from the clinic or call/practice group performing the sterilization. This may be a physician, advanced registered nurse practitioner, or physician's assistant. Once the sterilization consent form is signed by all parties, the client must undergo the procedure no sooner than the 31st day and no later than the 180th day after the signature date. The day the consent form is signed is considered day one.

Note: If less than 31 days, refer to <u>What are the exceptions to the usual consent</u> requirements for sterilization? or <u>section IV of the sterilization consent form</u>.

• <u>Section IV</u>, the bottom right portion of the sterilization consent form, must be completed by the provider who performed the surgery within 30 days of the date of surgery.

Coverage and Payment

(WAC 182-531-1550)

Who is eligible for sterilizations?

The following are eligible:

- Washington Apple Health clients who are age 18 and older
- Clients enrolled in the Family Planning Only-Pregnancy Related or Family Planning Only programs who meet these age requirements

The agency requires prior authorization for sterilization when a client is one of the following:

- Age 56 or older seeking a tubal sterilization
- Institutionalized or mentally incompetent (See <u>When does the agency cover sterilization</u> for clients who are institutionalized or have been found mentally incompetent?)

For information on how to submit a prior authorization request, see the <u>Physician-Related</u> <u>Services/Health Care Professional Services Billing Guide</u>.

What does the agency cover for Family Planning Only-Pregnancy Related and Family Planning Only clients?

Coverage

Both Family Planning Only programs have limited coverage for family planning services. All services provided to Family Planning Only-Pregnancy Related and Family Planning Only clients must have a primary focus and diagnosis of family planning (contraception). Sterilization is a covered service for Family Planning Only-Pregnancy Related and Family Planning Only clients.

Drugs

When a client is enrolled in either of the Family Planning Only programs and undergoes sterilization, the agency covers a limited number of prescription anti-anxiety medications before the sterilization, and pain medications after the sterilization. For information on prescribing and billing limits for drugs related to sterilization procedures, see the agency's <u>Prescription Drug</u> <u>Program Billing Guide</u>.

Payment

For clients in the Family Planning Only-Pregnancy Related and Family Planning Only programs, the agency does not pay for other medical services unless they are medically necessary for clients to safely and successfully use their chosen birth control method (including sterilization). See the <u>Family Planning Billing Guide</u> for more details.

For clients in the Family Planning Only-Pregnancy Related and Family Planning Only programs, only claims submitted with diagnosis and procedure codes related to family planning and contraception are processed for payment. The agency does not pay for diagnosis and procedure codes that are not related to family planning and contraception under the Family Planning Only-Pregnancy Related and Family Planning Only programs. For a list of covered codes, see the Family Planning Fee Schedule.

Complications

For clients enrolled in either of the Family Planning Only programs, the agency covers complications resulting from sterilizations on a case-by-case basis. Contact the agency's <u>Family</u> <u>Planning Program</u>.

When does the agency pay for sterilizations for clients enrolled in managed care plans?

The agency pays for sterilizations for clients age 18 through 20 who are enrolled in an agencycontracted managed care organization (MCO) under fee-for-service. This age group may selfrefer to a fee-for-service provider who accepts Apple Heath. All other managed care clients age 21 and older must obtain sterilization services from a provider contracted with their agencycontracted MCO.

For information on prescribing, coverage, and billing for drugs related to sterilization procedures when not contracted with an agency-contracted MCO, see the agency's <u>Prescription Drug</u> <u>Program Billing Guide</u>.

When are sterilizations covered?

The agency covers sterilizations when all of the following apply:

- The client has voluntarily given informed consent.
- The client undergoes the procedure no sooner than the 31st day and no later than the 180th day after signing the consent. The day the consent form is signed is considered day one.
- The client is at least age 18 at the time an agency-approved consent form is signed. See Completion of <u>HHS-687</u>sterilization consent form for a client ages 18-20 and <u>Appendix A</u> for special instructions for clients 18-20.
- The client is mentally competent.
- The client participates in a Washington Apple Health program (<u>WAC 182-501-0060</u>).

When are sterilizations paid?

- The agency pays providers for the sterilization procedure only when a qualified provider submits a completed <u>HHS-687</u> consent form with the claim for reimbursement.
- The agency pays only after the procedure is completed.

Does the agency pay for an office visit related to a sterilization procedure?

Yes. The agency pays for an office visit that includes counseling associated with sterilization and obtaining the client's signature on the consent form. The agency pays for this visit even if after the visit the client chooses not to be sterilized. See the agency's <u>Physician-Related</u> <u>Services/Healthcare Professional Services Billing Guide</u> for how to bill for office visits.

For clients in the Family Planning Only program who plan to have a vasectomy, the initial preoperative sterilization office visit is payable when performed on the same day as a family planning preventive visit. For more information about Family Planning Only, see the agency's <u>Family Planning Billing Guide</u>.

Does the agency pay anesthesia providers for sterilizations?

Yes. The agency pays for anesthesia necessary to perform sterilization. Follow the billing guidelines in the <u>Physician-Related Service/Health Care Professional Service Billing Guide</u>.

- When sterilization is done in conjunction with a delivery, the agency pays as follows:
 - ✓ If the two procedures are performed during the same operative session, anesthesia time for the sterilization is added to the time for the delivery.
 - ✓ The agency pays for epidural anesthesia in excess of the six-hour limit when sterilization is performed in conjunction with or immediately following a delivery.
 - ✓ If the sterilization and delivery are performed during different operative sessions, the time for each procedure is calculated separately.
- If the consent form is missing or not filled out according to the instructions in <u>Appendix</u> <u>A</u>, the agency will deny the portion of the claim related to sterilization. The agency will process all other covered services on the claim.

Does the agency pay assistant surgeons for sterilizations?

Yes. The agency pays for assistant surgeons to assist sterilization procedures when necessary. Follow the billing guidelines in the <u>Physician-Related Service/Health Care Professional Service</u> <u>Billing Guide</u>.

If the consent form is missing or not filled out according to the instructions in <u>Appendix A</u>, the agency will deny the portion of the claim related to sterilization. The agency will process all other covered services on the claim.

Does the agency pay the facility for sterilizations performed in a facility?

Yes. The agency pays facilities (ambulatory surgery centers and hospitals) for sterilizations performed in those facilities. Follow the billing guidelines in the <u>Outpatient Hospital Services</u> <u>Billing Guide</u>, the <u>Inpatient Hospital Services Billing Guide</u>, and the <u>Ambulatory Surgery</u> <u>Centers Billing Guide</u>.

If the consent form is missing or not filled out according to the instructions in <u>Appendix A</u>:

- The agency will deny sterilization and services related to sterilization on the facility claim. The agency will process all other covered services on the claim.
- For inpatient claims, the hospital must indicate on the claim all charges that are associated with the sterilization on their own line with the appropriate revenue code as noncovered.

Does the agency pay for sterilizations performed in conjunction with another procedure?

Yes. The agency pays for these sterilizations as long as the services meet the requirements for sterilization. Submit an agency-approved sterilization consent form with the claim.

When does the agency cover sterilization for clients who are institutionalized or have been found mentally incompetent?

(WAC <u>182-531-1550</u>(7))

The agency requires prior authorization for a sterilization procedure when a client is institutionalized or has been found mentally incompetent.

At least 30 days prior to the sterilization procedure, the provider must submit the following to the agency:

- A completed, typed *General Information for Authorization* form, HCA 13-835
- A sterilization consent form signed by the client's legal guardian

- A court order, which includes both of the following:
 - \checkmark A statement that the client is to be sterilized
 - \checkmark The name of the legal guardian who will be giving consent for the sterilization

Providers must attach a completed <u>HHS-687</u> consent form to the claim. The client's legal guardian must sign the form at least 30 days before the procedure date, and the surgeon must complete Section IV.

What are the exceptions to the usual consent requirements for sterilization?

Allowing a 72-hour waiting period (WAC <u>182-531-1550(4)</u>)

In two circumstances, the agency requires at least a 72-hour consent waiting period rather than the 30-day waiting period for sterilization:

- At the time of premature delivery when the client gave consent at least 30 days before the expected date of delivery. The expected date of delivery must be documented on the <u>HHS-687</u> consent form. Premature delivery is a delivery that occurs less than 37 weeks gestation. See instructions for the sterilization consent form in <u>Appendix A</u>.
- For emergency abdominal surgery, including medically-indicated cesarean sections. The nature of the emergency must be described on the <u>HHS-687</u> consent form. See instructions for the sterilization consent form in <u>Appendix A</u>.

Waiving the 30-day waiting period

(<u>WAC 182-531-1550(5)</u>)

In three circumstances, the agency waives the 30-day waiting period for sterilization when the client requests that sterilization be performed at the time of delivery, and completes the agency-approved $\underline{\text{HHS-687}}$ consent form.

The required language listed below must be on the *Claim Note* section of the professional and facility claims. This language also must appear on the sterilization consent form. See instructions for the sterilization consent form in <u>Appendix A</u>. Backup documentation may be requested if the reason for waiving the consent waiting period is not clearly identified.

For the waiver of the 30-day consent waiting period, one of the following circumstances may apply:

• The client became eligible for medical assistance during the last month of pregnancy.

Language required: "NOT ELIGIBLE 30 DAYS BEFORE DELIVERY."

• The client did not obtain medical care until the last month of pregnancy.

Language required: "NO MEDICAL CARE 30 DAYS BEFORE DELIVERY."

• The client was a substance abuser during pregnancy, but is not alcohol or drug-impaired at the time of delivery and consent.

Language required: "NO SUBSTANCE ABUSE AT TIME OF DELIVERY."

When is a consent form invalid?

(<u>WAC 182-531-1550</u>(6))

The agency considers a client incapable of informed consent when the client is in any of the following conditions:

- In labor or childbirth
- In the process of seeking to obtain or obtaining an abortion
- Under the influence of alcohol or other substances, including pain medications for labor and delivery, that affect the client's state of awareness and ability to give informed consent

Under any of the conditions listed above, the agency will not accept a signed sterilization consent form.

Coverage Table

Note: Due to its licensing agreement with the American Medical Association, the agency publishes only the official, short CPT® code descriptions. To view the full descriptions, refer to a current CPT book.

CPT Code	Short Description	Comments
00840	Anesth surg lower abdomen	May only be used with 58670, 58661, and 58671
00851	Anesth tubal ligation	
00921	Anesth vasectomy	
55250	Removal of sperm duct(s)	Used for vasectomies performed by any method
58600	Division of fallopian tube	Abdominal or vaginal approach.
58605	Division of fallopian tube	Associated with a vaginal delivery
58611	Ligate oviduct(s) add-on	Associated with a cesarean delivery.
58615	Occlude fallopian tube(s)	For external occlusive devices only, such as band, clip, or <i>Falope</i> ring. Vaginal or suprapubic approach.
58661	Laparoscopy remove adnexa	Only payable as a sterilization when the procedure is a salpingectomy when billed with diagnosis Z30.2 and *modifier FP. An oophorectomy is not payable when done only for the purpose of sterilization. *Modifier FP is needed only for billing under
		the Family Planning Only Pregnancy- Related and Family Planning Only programs.
58670	Laparoscopy, tubal cautery	
58671	Laparoscopy, tubal block	For external occlusive devices only, such as band, clip, or <i>Falope</i> ring.
58700	Removal of fallopian tube	

Office Visits: The agency pays for an office visit for the visit that includes counseling associated with sterilization and obtaining the client's signature on the <u>HHS-687</u> consent form. The agency pays for this visit even if after the visit the client chooses not to be sterilized. See the agency's <u>Physician-Related Services/Healthcare Professional Services</u> <u>Billing Guide</u> for how to bill for evaluation and management visits.

CPT® codes and descriptions only are copyright 2019 American Medical Association.

Appendices

Appendix A: Consent form instructions

- The agency accepts <u>HHS-687</u> consent forms-completed according to the following instructions:
 - \checkmark Do not use abbreviations on the form.
 - ✓ All signatures must be handwritten in ink. If an interpreter's or the consenter's signature is not legible, print the name legibly underneath the signature line.
- The Apple Health (ProviderOne) client identification number may be written on the form to help identify that the client on the consent matches the claim.
- Race and ethnicity are voluntary and not required for payment.
- The interpreter's statement (Section III) is completed as needed for individual clients.
- All information on the <u>HHS-687</u> consent form **must be legible**. Do not cover up or obstruct portions of the form.
- Changes to Section I, II, and III of the consent form can only be made at the time of consent. Changes to Section IV may be made up to 30 days after the procedure.

What can and cannot be changed on a consent form

The agency recommends using the fill-in capability of the federal consent form to reduce the amount of handwritten information on the form. This makes the form more legible for review.

Clients can correct their entry on the consent form at the time of consent if they make a mistake on the date of birth (line 3) or printing their full name (line 4). Clients cannot correct any other line on the consent form. If a mistake is made, it is better to complete and sign a new consent form.

Providers can correct their miswritten entries by crossing out the incorrect information, initialing and dating next to the mistake, and writing in the correct information legibly. Items that can be corrected are:

- Printed name of clinic or provider
- Address of clinic

- Name of the procedure only if it is to make lines 2, 6, and 13 match for clarity. (Example: If "salpingectomy" was written in line 2 and "bilateral salpingectomy" was written on lines 6 and 13. The word "bilateral" could be added to line 2.)
- Name of person only if it is to make lines 4, 12, and 18 match for clarity. (Example: If "Mary Smith" was written on line 4 and "Mary E. Smith" was written on line 12 and 18. The initial "E" could be added to lines 12 and 18.)

Clients and providers cannot amend or correct the following items:

- Any signature
- Dates of consent by client, interpreter, and provider.

Instructions for the <u>HHS-687</u> consent form

(The actual federal consent form does not have section and line numbers. The example in Appendix B has section and line numbers to show where each instruction refers.)

Section I: Consent to Sterilization		
Line ²	Instructions	
1. Doctor or Clinic:	Must be the full name of the health professional, clinic, or practice group that gave the client the federally required information regarding sterilization and informed consent.	
	Can be a provider at a specific clinic/practice, a clinic name, or a provider on call with a specific clinic/practice. Does not have to be the same name as on line #24.	
	The agency will not accept "physician on call" or abbreviations.	
	Tip: There is less confusion if lines #1, #5 and #16 match.	

² The line numbers correspond to those listed on the sample consent form in <u>Appendix B</u>.

Section I: Consent to Sterilization		
2. Specify Type of Operation:	Indicate a <i>single</i> type of sterilization procedure. Procedure must be the same on lines #2, #6, #13. The client may consent to only one type of procedure.	
	Abbreviations are not accepted. "Tubal" alone is not accepted.	
	Examples of acceptable procedure names: <i>Vasectomy, tubal sterilization, tubal ligation, laparoscopic tubal ligation, laparoscopic tubal sterilization, or salpingectomy. The terms bilateral or unilateral are acceptable as long as they are followed by a procedure name. The agency recognizes that there are various techniques used for sterilization and that the term "tubal ligation" is commonly used to refer to cautery, occlusion, ligation, and excision.</i>	
3. Date:	Must be the client's birth date. The date must match the client's birth date in ProviderOne.	
	If the client is not 21 years of age, the age "21" next to the date of birth must be crossed out with a single line and the age of the client must be written in. The client must be at least 18 at the time of consent.	
4. Space for name of person being sterilized:	Must be the client's printed name as shown in ProviderOne. Must be the same name as lines #12 and #18 on this form.	
sterilized:	Tip: Check that the name the client is using is the same as in ProviderOne.	
	Tip: Write the client's ProviderOne ID on the form. An open space such as the upper left hand corner or near a patient sticker if that is put on the form.	
5. Doctor or Clinic:	See line #1.	
6. Specify Type of Operation:	See line #2.	
7. Signature:	Client signature. Must be client's usual legal signature. Must be signed in ink.	

Section I: Consent to Sterilization	
8. Date:	Date of consent. Must be the date that client was initially counseled regarding sterilization. Must be the same date as #11 and #15.
	Must be more than 30 days, but less than 181 days, from the date of sterilization (line #19). Note : This is true even of shorter months such as February.
	The sterilization may be done on the 31st through 180th day from the date of the client's signature.
	Example: If the HHS-687 form was signed on 3/2/2016, the client has met the 30-day wait period and can have their sterilization on 4/1/2016.
	If less than 30 days, see <u>What are the exceptions to the usual consent</u> requirements for sterilization? and section IV of the form.

Section II: Interpreter's Statement			
Line	Instructions		
9. Space for client's language:	Must specify language into which the sterilization information statement has been translated to for the client.		
10. Interpreter's Signature:	Must be interpreter's original signature in ink.		
11. Date:	The date the interpreter translated for the client must be the same date the client signed. Must be the same date as lines #8 and #15.		

Section III: Statement of Person Obtaining Consent			
Line	Instructions		
12. Name of Individual:	See line #4.		
13. Specify Type of Operation:	See line #2.		
Age of client (middle of section IV)	If the client is not 21 years of age, the age "21" in the 1 st sentence of the 3 rd paragraph must be crossed out with a single line and the age of the client must be written in.		
14. Signature of Person Obtaining Consent:	Must be usual legal signature signed in ink.		

Section III: Statement of Person Obtaining Consent		
15. Date:	Date consent was obtained. Must be the same as #8 and #11.	
16. Facility:	See line #1. Tip: For legibility, print the name of the person obtaining consent on line #16 below the signature.	
17. Address:	Must be physical address of medical practice/group, clinic, or health professional's office where the consent was signed.	

Section IV: Physician's Statement		
Line	Instructions	
18. Name of Individual:	See line #4.	
19. Date of Sterilization:	Must be more than 30 days, but less than 181 days, from client's signed consent date listed in line #8, #11, and #15.	
	If less than 30 days, see <u>What are the exceptions to the usual consent</u> requirements for sterilization? and section IV of the form.	
	Date must match the date of procedure on the submitted claim.	
20. Specify Type of Operation:	Indicate the type of sterilization operation performed. It must match the type of operation on the claim submitted by the surgeon.	
	Abbreviations are not accepted. "Tubal" alone is not accepted.	
	Examples of acceptable operation names: <i>Vasectomy, salpingectomy, tubal ligation, laparoscopic tubal ligation, or salpingectomy. The terms bilateral or unilateral are acceptable as long as they are followed by a procedure name. The agency recognizes that there are various techniques used for sterilization and that the term "tubal ligation" is commonly used to refer to cautery, occlusion, and ligation.</i>	
Age of client (middle of section IV)	If the client is not 21 years of age, the age "21" in the 1 st sentence of the 4 th paragraph must be crossed out with a single line and the age of the client must be written in.	
21. Premature Delivery:	Check if delivery is premature. Premature means a delivery occurring at less than 37 weeks gestation.	
	Cross out the paragraph identified with (1) as instructed on the consent form.	

Section IV: Physician's Statement		
22. Expected Date of Delivery:	To be completed if there is a premature delivery. Enter the <i>expected</i> date of delivery. Do not use the actual date of delivery.	
23. Emergency Abdominal Surgery:	Check if emergency abdominal surgery is required. On line #24, list diagnoses codes if sterilization was done at the time of emergency abdominal surgery. This includes medically indicated cesarean sections. It is unnecessary to write descriptions if the diagnosis codes indicate what the emergency was. Cross out the paragraph identified with (1) as instructed on the consent form.	
24. [blank] Reason for waiving the 30 day waiting period:	To be completed if the 30 day waiting period is waived. If it is emergency abdominal surgery see instruction for line 23. If it is one of the reasons listed in <u>Waiving the 30-day waiting period</u> write the required language here.	
25. [blank] Surgeon's printed name	Print the provider's name signed on line #25. To verify the claim for payment the provider's name must be printed above the signature or located nearby (may be on a patient sticker).	
26. Physician's Signature:	Must be the provider who actually performed the sterilization procedure. Must be signed in ink. Name must be the same name as on the primary surgeon's claim submitted for payment.	
27. Date:	Date of provider's signature. Must be no later than 30 days after the sterilization procedure. Tip: It is best if Section IV is signed and dated on the date of the procedure to prevent delay in payment for other providers and facilities that are billing for the same procedure.	

Appendix B: Consent form

(This is an example. The current agency-approved sterilization form is federal form HHS-687.)

Form Approved: OMB No. 0937-0166 Expiration date: 4/30/2022

signed the

, the fact that it is

Œ

Date

19

Date of Sterilization

, the fact that it is

Section IV

CONSENT FOR STERILIZATION

NOTICE: YOUR DECISION AT ANY TIME NOT TO BE STERILIZED WILL NOT RESULT IN THE WITHDRAWAL OR WITHHOLDING OF ANY BENEFITS PROVIDED BY PROGRAMS OR PROJECTS RECEIVING FEDERAL FUNDS.

Before

Federal funds.

Section I CONSENT TO STERILIZATION

STATEMENT OF PERSON OBTAINING CONSENT

Name of Individual

consent form. I explained to him/her the nature of sterilization operation

intended to be a final and irreversible procedure and the discomforts, risks

I counseled the individual to be sterilized that alternative methods of birth control are available which are temporary. I explained that sterilization is different because it is permanent. I informed the individual to be

sterilized that his/her consent can be withdrawn at any time and that

he/she will not lose any health services or any benefits provided by

at least 21 years old and appears mentally competent. He/She knowingly

and voluntarily requested to be sterilized and appears to understand the

16 Facility

1 Address

PHYSICIAN'S STATEMENT

Shortly before I performed a sterilization operation upon

To the best of my knowledge and belief the individual to be sterilized is

Æ

and benefits associated with it.

nature and consequences of the procedure.

1

Signature of Person Obtaining Consent

(18

Name of Individual

20

Specify Type of Operation

I have asked for and received information about sterilization from
_______. When I first asked
_______. Doctor or Clinic

for the information, I was told that the decision to be sterilized is completely up to me. I was told that I could decide not to be sterilized. If I decide not to be sterilized, my decision will not affect my right to future care or treatment. I will not lose any help or benefits from programs receiving Federal funds, such as Temporary Assistance for Needy Families (TANF) or Medicaid that I am now getting or for which I may become eligible. I UNDERSTAND THAT THE STERILIZATION MUST BE CONSIDERED

I UNDERSTAND THAT THE STERILIZATION MUST BE CONSIDERED PERMANENT AND NOT REVERSIBLE. I HAVE DECIDED THAT I DO NOT WANT TO BECOME PREGNANT, BEAR CHILDREN OR FATHER CHILDREN.

I was told about those temporary methods of birth control that are available and could be provided to me which will allow me to bear or father a child in the future. I have rejected these alternatives and chosen to be sterilized.

I understand that I will be sterilized by an operation known as a • • • . The discomforts, risks

Specify Type of Operation

and benefits associated with the operation have been explained to me. All my questions have been answered to my satisfaction.

I understand that the operation will not be done until at least 30 days after I sign this form. I understand that I can change my mind at any time and that my decision at any time not to be sterilized will not result in the withholding of any benefits or medical services provided by federally funded programs.

I am at least 21 years of age and was born on: Date ١, hereby consent of my own 4 free will to be sterilized by Doctor or Clinic by a method called My Specify Type of Operation consent expires 180 days from the date of my signature below. I also consent to the release of this form and other medical records about the operation to: Representatives of the Department of Health and Human Services. or Employees of programs or projects funded by the Department but only for determining if Federal laws were observed. I have received a copy of this form. Signature Date You are requested to supply the following information, but it is not required: (Ethnicity and Race Designation) (please check) Ethnicity: Race (mark one or more): Hispanic or Latino American Indian or Alaska Native Asian Not Hispanic or Latino Black or African American

Native Hawaiian or Other Pacific Islander
 White

Section II INTERPRETER'S STATEMENT

If an interpreter is provided to assist the individual to be sterilized: I have translated the information and advice presented orally to the in-

dividual to be sterilized by the person obtaining this consent. I have also read him/her the consent form in ______9 language and explained its contents to him/her. To the best of my knowledge and belief he/she understood this explanation.

10	1
Interpreter's Signature	Date
HHS-687 (04/22)	

Specify Type of Operation intended to be a final and irreversible procedure and the discomforts, risks and benefits associated with it.

I explained to him/her the nature of the sterilization operation

I counseled the individual to be sterilized that alternative methods of birth control are available which are temporary. I explained that sterilization is different because it is permanent.

I informed the individual to be sterilized that his/her consent can be withdrawn at any time and that he/she will not lose any health services or benefits provided by Federal funds.

To the best of my knowledge and belief the individual to be sterilized is at least 21 years old and appears mentally competent. He/She knowingly and voluntarily requested to be sterilized and appeared to understand the nature and consequences of the procedure.

(Instructions for use of alternative final paragraph: Use the first paragraph below except in the case of premature delivery or emergency abdominal surgery where the sterilization is performed less than 30 days after the date of the individual's signature on the consent form. In those cases, the second paragraph below must be used. Cross out the paragraph which is not used.)

(1) At least 30 days have passed between the date of the individual's signature on this consent form and the date the sterilization was performed.

(2) This sterilization was performed less than 30 days but more than 72 hours after the date of the individual's signature on this consent form because of the following circumstances (check applicable box and fill in information requested):



Appendix C: Common reasons sterilization claims are denied

- A copy of the consent form is NOT attached.
- There are blank lines on the consent form. (All lines in sections <u>I, II, and IV</u> must be completed, except lines 21, 22, and 23 which are required only in certain cases.)
- Lines are not completed correctly on the consent form, or inaccurate information is included rather than what is needed.
- On the consent form, there are fewer than 30 days from the date of the client's signature (line 8) to the date of the sterilization operation (line 19).
- The sterilization date on the consent form (line 19) is not the same as the sterilization date on the claim.
- The provider who signs the consent form (line 24) is not the provider listed on the claim as performing the sterilization procedure.
- The provider's signature is illegible on the consent form and the provider's name is not printed above his or her signature (line 24).
- The handwriting on the consent form is illegible or the photocopy quality is too poor to read.
- No expected date of delivery is listed with a premature delivery (line 22).
- Salpingectomy (procedure code 58661 or 58700) is billed as a sterilization but tubal ligation is listed as the specific type of operation (line 20).
- Chart labels or stickers cover up information or signatures on the consent form.