

# Change Summary

## PBM Data Submission Guide v3.0 and v4.0

The changes between version 3.0 and 4.0 of the PBM’s data submission guides (DSG) are indicated in red in the table below.

Type of change	Template	Version 3.0	Version 4.0
<b>Update Definition:</b> Calendar Year		“Current year” means calendar year 2021.	“Current year” means the reporting period, calendar year 2022.
<b>Update Definition:</b> Prior year		“Prior Year” means calendar year 2021.	“Prior Year” means previous reporting period, calendar year 2022.
<b>Update:</b> Failed Technical or Program Validations		For example, if you submitted the file ‘pbm_appeals_2021_P12345_20210301.csv’, and received a rejection, after making corrections you should resubmit the file ‘pbm_appeals_2021_P12345_20210301.csv’ with the same name as it was originally submitted under, even if the date of resubmission is a different date.	For example, if you submitted the file ‘pbm_appeals_2022_P12345_20230301.csv’, and received a rejection, after making corrections you should resubmit the file ‘pbm_appeals_2022_P12345_20230301.csv’ with the same name as it was originally submitted under, even if the date of resubmission is a different date.
<b>Add:</b> Template Formatting			Template Formatting **Do not replace “manufacturer” with your organization’s name, this will result in your submission being rejected. **Do not use commas in Column B – Manufacturer Name. ** Do not use trademark symbol anywhere in template. ** Do not use a hard return (enter key) in any field.
<b>Update:</b> Table Specifications	PBM Appeals	The submission of this report for this reporting year is due on March 1, 2023 and should include data for calendar year 2020.	The submission of this report for this reporting year is due on March 1, 2024 and should include data for calendar year 2022.
<b>Update Field</b> Year		Name: Year Type: Numeric Format: 0000 Max Length: 4 digits Min Length: 4 digits Rule: greater than or equal to 2021  Current year for which the aggregate data is reported.	Name: Year Type: Numeric Format: 0000 Max Length: 4 digits Min Length: 4 digits Rule: 2022  Current year for which the aggregate data is reported.
<b>Update:</b> OIC Action Description			Description of any action from the commissioner such as directing the pharmacy benefit manager to make an adjustment to the disputed claim, deny the pharmacy appeal, or take other actions deemed fair and equitable.  NOTE: Do not include hard returns.
<b>Update:</b>	PBM Formulary	File naming schema: pbm_formulary_{YYYY}_{ID}_{YYYYMMDD}.csv	File naming schema: pbm_formulary_{YYYY}_{ID}_{YYYYMMDD}.csv

Table Specifications		<p>Example: pbm_formulary_2021_P12345_20220301.csv (Please use the submission due date, not the date the report was prepared)</p> <p>The submission of this report for this reporting year is due on March 1, 2023 and should include data for calendar year 2020.</p>	<p>Example: pbm_formulary_2022_P12345_20230301.csv (Please use the submission due date, not the date the report was prepared)</p> <p>The submission of this report for this reporting year is due on March 1, 2024 and should include data for calendar year 2022.</p>
Update Field Year		<p>Name: Year Type: Numeric Format: 0000 Max Length: 4 digits Min Length: 4 digits Rule: 2021</p> <p>Current year for which the aggregate data is reported</p>	<p>Name: Year Type: Numeric Format: 0000 Max Length: 4 digits Min Length: 4 digits Rule: 2022</p> <p>Current year for which the aggregate data is reported</p>
Update Moved: Basis for Negotiated Price		<p>Name: Basis for Negotiated Price Type: Choice Choices: AWP, MAC, NADACB, NADACG, WAC, U&amp;C, GAD, Vaccine, Other</p> <p>The pricing benchmark used to determine the negotiated price guarantees.</p> <p>Examples: AWP, MAC, NADACB, NADACG, WAC, U&amp;C, GAD, Vaccine, and Other. If Other is selected, please describe the basis in the General Comments field for this row.</p> <p>AWP: Average Wholesale Price MAC: Maximum Allowable Cost NADACB: National Average Drug Acquisition Cost – Brand Drug NADACG: National Average Drug Acquisition Cost – Generic Drug WAC: Wholesale Acquisition Cost U&amp;C: Usual and Customary GAD: Gross Amount Due (Pharmacy Submitted) Vaccine: Vaccine and Administration (describe in the General Comments field) Other: None of the above (must describe in general comments field)</p>	<p>The pricing benchmark used to determine the negotiated price guarantees.</p> <p>Examples: AWP, MAC, NADACB, NADACG, WAC, U&amp;C, GAD, Vaccine, and Other. If Other is selected, please describe the basis in the General Comments field for this row.</p> <p>AWP: Average Wholesale Price MAC: Maximum Allowable Cost NADACB: National Average Drug Acquisition Cost – Brand Drug NADACG: National Average Drug Acquisition Cost – Generic Drug WAC: Wholesale Acquisition Cost U&amp;C: Usual and Customary GAD: Gross Amount Due (Pharmacy Submitted) Vaccine: Vaccine and Administration (describe in the General Comments field) <b>Other: None of the above (must describe in general comments field)</b></p>
Update Field: Basis for Negotiated Admin Fee		<p>The negotiated administrative fee the PBM charges the health plan to administer the benefit. Examples: Per Claim, Per Member Per Month (PMPM), Per Subscriber Per Month (PSPM), Other. If Other is selected, please describe the basis in the General Comments field for this row.</p> <p>Per Claim: Admin fee charged per claim PMPM: Per Member Per Month PSPM: Per Subscriber Per Month <b>Other: None of the above</b></p>	<p>The negotiated administrative fee the PBM charges the health plan to administer the benefit. Examples: Per Claim, Per Member Per Month (PMPM), Per Subscriber Per Month (PSPM), Other. If Other is selected, please describe the basis in the General Comments field for this row.</p> <p>Per Claim: Admin fee charged per claim PMPM: Per Member Per Month PSPM: Per Subscriber Per Month <b>Other: None of the above (must describe in general comments field)</b></p>

<p><b>Update Field:</b></p> <p>Total WAC</p>		<p>The sum of the wholesale acquisition cost for all paid claims with this NDC during the Year of the report.</p> <p><b>NOTE: Do not include the dollar sign or commas.</b></p>	<p>The sum of the wholesale acquisition cost for all paid claims with this NDC during the Year of the report.</p> <p>Explain why Total WAC of 0 is reported for any NDC in the General Comments</p> <p><b>NOTE: Do not include the dollar sign or commas.</b></p>
<p><b>Update:</b></p> <p>Table Specifications</p>	<p>Ownership</p>	<p>File naming schema: pbm_ownership_{YYYY}_{ID}_{YYYYMMDD}.csv Example: pbm_ownership_2021_P12345_20230301.csv (Please use the submission due date, not the date the report was prepared)</p> <p>The submission of this report for this reporting year is due on March 1, 2022 and should include data for calendar year 2021.</p>	<p>File naming schema: pbm_ownership_{YYYY}_{ID}_{YYYYMMDD}.csv Example: pbm_ownership_2022_P12345_20240301.csv (Please use the submission due date, not the date the report was prepared)</p> <p>The submission of this report for this reporting year is due on March 1, 2024 and should include data for calendar year 2022.</p>
<p><b>Add Field:</b></p> <p>Year</p>		<p>Name: Year Type: Numeric Format: 9999 Max Length: 4 digits Min Length: 4 digits Rule: 2021</p> <p>Current year for which the aggregate data is reported.</p>	<p>Name: Year Type: Numeric Format: 9999 Max Length: 4 digits Min Length: 4 digits Rule: 2022</p> <p>Current year for which the aggregate data is reported.</p>